

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Substitute for form PTO-1449

Sheet 1 of 1

Application Number	09/708,944
Filing Date	08 November 2000
First Named Inventor	Robert E. Dvorak
Art Unit	3623
Examiner Name	Romain Jeanty
Attorney Docket Number	BLFR 1000-1

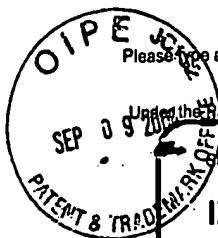
**U.S. PATENT DOCUMENTS**

Examiner's Initials		Patent No. Number-Kind Code	Pub. Date MM-DD- YYYY	Name	Pages, Cols, Lines of Relevant Passages or Figures
RJ	A1	US 5,594,493	01-21-1997	Tone et al.	
	A2	US 5,712,985	01-27-1998	Lee et al.	
	A3	US 5,953,707	09-14-1999	Huang et al.	
	A4	US 5,966,695	10-12-1999	Melchione et al.	
	A5	US 6,151,582	11-21-2000	Huang et al.	
	A6	US 6,341,269	01-22-2002	Dulaney et al.	
	A7	US 6,370,509	04-09-2002	Ross et al.	
	A8	US 6,434,544	08-13-2002	Bakalash et al.	
	A9	US 6,609,101	08-19-2003	Landvater	
	A10	US 6,834,266	12-21-2004	Kumar et al.	
	A11	US 2002/0147630	10-10-2002	Rose et al.	
	A12	US 2003/0074251	04-17-2003	Kumar et al.	
	A13	US 2003/0229502	12-11-2003	Woo	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials		Include name of the author (in CAPITAL LETTERS), title of article, title of item (book, magazine, journal, serial, symposium, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
RJ	C1	Ackerman, Jerry, "Looking Back to Fashion's Future", The Boston Globe, 10/07/1998 [retrieved 09/09/04], pages 1-3, retrieved from Google.com and archive.org.	
	C2	KUMAR, MAHESH et al., Clustering Seasonality Patterns in the Presence of Errors, Paper 155, May 2002, <a href="http://ebusiness.mit.edu">http://ebusiness.mit.edu</a> .	
	C3	KUMAR, MAHESH, Error-based Clustering and Its Application to Sales Forecasting in Retail Merchandising, September 2003, Massachusetts Institute of Technology.	
	C4	MONTGOMERY, ALAN, The Implementation Challenge of Pricing Decision Support Systems for Retail Managers, October 2003, Pittsburgh, PA.	
	C5	SEN, ALPER, The U.S. Apparel Industry, Apparel Review, October 2004, Dept. of Industrial Engineering, Bilkent Univ., Bilkent, Ankara, Turkey.	
	C6	Screenshots, <a href="http://www.grossprofit.com">www.grossprofit.com</a> , 10/18/2000 [retrieved 09/09/04], pages 1-9. retrieved from: archive.org and google.com.	
	C7	Koloszyc, Ginger, "Merchants Try Complex Math Tools to Improve Inventory Decisions", Stores Magazine, 11/1998 [retrieved 09/09/04], pages 1-3, retrieved from Archive.org and Google.com.	

Examiner Signature	<i>Romain Jeanty</i>	Date Considered	4/10/06
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PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete If Known

Application Number	097708,944
Filing Date	November 8, 2000
First Named Inventor	Robert E. DVORAK
Group Art Unit	3623
Examiner Name	Romain JEANTY
Attorney Docket Number	BLFR 1000-1

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
[Signature]	A1	5,168,445		Kawashima et al.	12/01/1992	
	A2	5,179,643		Homma et al.	01/12/1993	
	A3	5,237,496		Kagami et al.	08/17/1993	
	A4	5,377,095		Maeda et al.	12/27/1994	
	A5	5,765,143		Sheldon et al.	06/09/1998	
	A6	5,974,422		Kagami et al.	10/26/1999	
	A7	6,078,900		Ettl et al.	06/20/2000	
	A8	6,456,997	B1	Shukla	09/24/2002	
	A9	6,493,678	B1	Foster et al.	12/10/2002	
	A10	6,510,420	B1	Cessna et al.	01/21/2003	
[Signature]	A11					
	A12					
	A13					
	A14					
	A15					
	A16					
	A17					
	A18					
	A19					
	A20					

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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
[Signature]	B1	JP	2002-073951		MATSUBARA HIDEKI	03/12/2002		
	B2							
	B3							
	B4							
	B5							
	B6							
	B7							
	B8							
	B9							
	B10							

Examiner  
Signature

Romain Jeanty

Date  
Considered

4/10/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

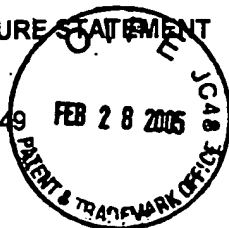
<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	A1	US			
	A2	US			
	A3	US			
	A4	US			
	A5	US			
	A6	US			

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials		Patent No. Number-Kind Code	Pub. Date MM-DD-YYYY	Name	Pages, Cols, Lines of Relevant Material	T
	B1					
	B2					
	B3					
	B4					
	B5					
	B6					

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials		Include name of the author (in CAPITAL LETTERS), title of article, title of item (book, magazine, journal, serial, symposium, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	C1	Hauge et al., "How Low Can You Go? Using Simulation to Determine Appropriate Inventory Levels", attributed to IIE Lean Management Solutions, September 23-24, 2002, Seattle, WA, (publication data unverified) < <a href="http://www.novasim.com/downloads/How%20Low%20Can%20You%20Go.pdf">http://www.novasim.com/downloads/How%20Low%20Can%20You%20Go.pdf</a> >, accessed 10 January 2005;	
	C2	Lin et al., "Extended-Enterprise Supply-Chain Management at IBM Personal Systems Group and Other Divisions", Interfaces 30:1, pp. 7-25, at pp. 12-13 (Jan.-Feb. 2000) available at < <a href="http://www.interfaces.smeal.psu.edu/pdf/v30n1a2.pdf">http://www.interfaces.smeal.psu.edu/pdf/v30n1a2.pdf</a> >;	
	C3		
	C4		

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